# PATENT COOPERATION TREATY

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INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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24 MAR 2005

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## PCT

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of Mailing (day/month/year)

23 FEB 2005

Applicant's or agent's file reference

088/03504

IMPORTANT NOTIFICATION

International application No.

International filing date (day/month/year)

Priority date (day/month/year)

PCT/IL03/00769

25 September 2003 (25.09.2003)

25 September 2002 (25.09.2002)

Applicant

BY-PASS, INC.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

Mail Stop PCT, Attn: IPEA/US Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

Facsimile No. (703) 305-3230 Form PCT/IPEA/416 (July 1992) Bradford C Pantuck

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# **PCT**

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference		C . V .: C .:	
088/03504	FOR FURTHER ACTION	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No.	International filing date (day/month/year) Priority date (day/month/year)		Priority date (day/month/year)
PCT/IL03/00769	25 September 2003 (25.09.200	3)	25 September 2002 (25.09.2002)
International Patent Classification (IPC)	or national classification and IPC	·	
IPC(7): A61B 17/08 and US Cl.: 606/15	3		_
Applicant			
BY-PASS, INC.			
<ol> <li>This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</li> </ol>			
2. This REPORT consists of a total of \(\frac{1}{2}\) sheets, including this cover sheet.			
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).			
These annexes consist of a	total of $\frac{2}{}$ sheets.		
3. This report contains indica	3. This report contains indications relating to the following items:		
I Basis of the repo	ort		
II Priority			
III Non-establishme			
IV Lack of unity of			
K-21			
<u> </u>	nent under Article 35(2) with regard to novelty, inventive step or industrial tations and explanations supporting such statement		
VI Certain documer			
VII 🔀 Certain defects i	in the international application		
	tions on the international application		
	••		
Date of submission of the demand	Date	of completion	of this report
Name and mailing address of the IPEA/U	ì	04 January 2005 (04.01.2005)	
Mail Stop PCT, Attn: IPEA/US	Auth	Authorized officer	
		radford CPantuck	
Alexandria, Virginia 22313-1450 Facsimile No. (703) 305-3230	Alexandria, Virginia 22313-1450  csimile No. (703) 305-3230  Telephone No. (703) 308-0858		308-0858
form PCT/IPEA/409 (cover sheet)(July 19	001		

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# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International	application	No

PCT/IL03/00769

I.	Basi	is of the report
1.	With	regard to the elements of the international application:*
		the international application as originally filed.
	X	the description:
	-	pages 1-31 as originally filed
		pages NONE , filed with the demand
	<u>~</u>	pages NONE , filed with the letter of
	$\boxtimes$	the claims:
		pages 32, 34-37, 39 , as originally filed
		pages NONE , as amended (together with any statement) under Article 19 pages 33, 38 , filed with the demand
		pages NONE , filed with the letter of
: !	$\square$	the drawings.
	لحكا	pages 1-23, as originally filed
		pages NONE , filed with the demand
		pages NONE , filed with the letter of
		the sequence listing part of the description:
		pages NONE , as originally filed
		pages NONE , filed with the demand
		pages NONE , filed with the letter of
2.	With	h regard to the language, all the elements marked above were available or furnished to this Authority in the
	langi	uage in which the international application was filed, unless otherwise indicated under this item
	11103	se elements were available or furnished to this Authority in the following language which is:
	Щ	the language of a translation furnished for the purposes of international search (under Rule23.1(b)).
	Ц	the language of publication of the international application (under Rule 48.3(b)).
		the language of the translation furnished for the purposes of international preliminary examination(under Rules
		55.2 and/or 55.3).
3.	With	h regard to any nucleotide and/or amino acid sequence disclosed in the international application, the
	Interi	rnational preliminary examination was carried out on the basis of the sequence listing:
	H	contained in the international application in printed form.
	H	filed together with the international application in computer readable form.
		furnished subsequently to this Authority in written form.
	Ц	furnished subsequently to this Authority in computer readable form.
		The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the
	_	international application as filed has been furnished.
		The statement that the information recorded in computer readable form is identical to the written sequence listing
		has been furnished.
4.		The amendments have resulted in the cancellation of:
		the description, pages NONE
		the claims, Nos. NONE
_	г	the drawings, sheets/fig NONE
5. 	,	This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**
,,,,,	Replace repon	tement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in reasons as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17). Explacement sheet containing such amendments must be referred to under item 1 and annexed to this report.
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Form PC1/IPEA/409 (Box I) (July 1998)



International application No. PCT/IL03/00769

V. Reasoned statement under Rule 66.2(a citations and explanations supporting s	)(ii) with regar such statement	rd to novelty, inventive step or industrial	applicability;
1. STATEMENT			
Novelty (N)	Claims	8, 11, 16-23, 26-30, 32-57	YES
	Claims	1-7, 9, 10, 12-15, 24, 25, 31	NO
Inventive Step (IS)	Claims	8, 11, 16-23, 26-30, 32-48, 50-57	YES
·	Claims	1-7, 9, 10, 12-15, 24, 25, 31, 49	NO
Industrial Applicability (IA)	Claims	1-57	YES
	Claims	NONE	NO

### 2. CITATIONS AND EXPLANATIONS

Claims 1-7, 9, and 10 lack novelty under PCT Article 33(2) as being anticipated by Publication No. US 2002/0099394 A1 to Houser et al. Regarding Claims 1-3, 6, 7, and 9 Houser discloses in Figures 53a and 53b and in Paragraph [0176] a plurality of leg confiners (four radially extendable protrusions at the distal end of 440) having slots (442) between them. Houser also discloses a coupler (34), which couples a graft to the leg confiners (see para. [0176]). The leg confiners are configured to move in an inner direction ["the fittings are compressible into a low profile for insertion into a vessel"], particularly when "splittable tubing (not shown)" is slid over the leg confiners (see para. [0176]). This splittable tubing is a control for splitting at least a portion of the system. Various spacing distances can be maintained between the confiners and a graft vessel.

Regarding Claim 4, the leg confiners are shaped in a circle.

Regarding Claim 5, the configuration is used for an end-to-side anastomosis procedure, so a graft is certainly a part of the procedure.

Regarding Claim 10, each confiner is capable of receiving a single leg.

Claims 12-15, 24, 25, and 31 lack novelty under PCT Article 33(2) as being anticipated by Publication No. US 2002/0022852 A1 to Dakov. Dakov discloses a system that, although not intended for the purpose outlined by Applicant is certainly capable of such an intended use. Dakov discloses a coupler (313) at the proximal end and two elongated leg confiners (306), which move in an inward direction [see Figures 26A and 26B]. Dakov's instrument has all of the claimed structure of Applicant's invention.

Regarding Claim 31 Dakov's instrument had a body (312) capable of receiving a connector, a handle (the user can grasp the instrument at its proximal end), and by rotating the elongated arms (306) the user has control over the mechanism.

Claim 49 lacks an inventive step under PCT Article 33(3) as being obvious over Publication No. US 2002/0099394 A1 to Houser et al. It would be obvious to put Houser's arrangement including a plurality of leg segments (440) and band (34) in a sterile package as is well-known in the medical art.

Claims 8, 11, 16-23, 26-30, 32-48, and 50-57 meet the criteria set out in PCT Article 33(2)-(3), because the prior art does not teach or fairly suggest the claimed inventions.

Claims 1-57 meet the criteria set out in PCT Article 33(4), and thus have industrial applicability because the subject matter claimed can be made or used in industry.

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### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

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PCT/IL03/00769

VII. Certain defects in the international application		
The following defects in the form or contents of the international application have been noted:		
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